



12-26-02

GP 1624

Docket No: AM100341

Patent

#8
1/22/03
891IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of: Mark R. Schmitt, et al.
Serial No.: 09/895,975 ✓
Filed: June 29, 2001 ✓
For: SUBSTITUTED-TRIAZOLOPYRIDINES AS ANTICANCER AGENTS ✓
Confirmation No.: 9267
Customer Number: 25291

Commissioner for Patents
Washington, DC 20231

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In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

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I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EU238370200US addressed to the Commissioner for Patents, Washington, DC 20231.

December 23, 2002
DateDaniel B. Moran
Daniel B. Moran

12/30/2002 AADDF01 00000038 011425 09895975

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2. Identification of Time of Filing

This Information Disclosure Statement

- a. ☐ is filed within three months of the filing date of the application.
- b. ☒ is filed before the mailing date of a first Office Action on the merits.
- c. ☐ is filed before the mailing date of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- d. ☐ is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the mailing date of a final action under 37 CFR 1.311. This statement includes a certification under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p).
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A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed, except that no copy of a pending U.S. application is enclosed.

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Prior application is Serial Number , filed on of for .

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Documents cited above which are not in the English Language

- a. ☐ have been explained in the specification.
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Form PTO-1449 is enclosed in duplicate.

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☒ Fee for filing under 37 CFR 1.97(c) or (d) Fee: \$180.00

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Daniel B. Moran

Reg. No. 41,204

Wyeth
Patent Law Department
Five Giralda Farms
Madison, NJ 07940
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| Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 09/895,975 |
| | | | | Filing Date | June 29, 2001 |
| | | | | First Named Inventor | Mark R. Schmitt |
| | | | | Group Art Unit | 1624 |
| | | | | Examiner Name | Tamthom N. Truong |
| Sheet | 1 | of | 1 | Attorney Docket Number | AM100341 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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FOREIGN PATENT DOCUMENTS

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|-----------------------|-------------|-------------------------|------------|-------------------------|--|--|--|---|
| | | Office | Number | Kind Code (If Known) | | | | |
| | 2. | | DD55956A | | E. Tenor, et al. | 05-20-1967 | English Translation | |
| | 3. | | DD61269A | | E. Tenor, et al. | 04-20-1968 | English Translation | |
| | 4. | | JP02212488 | | S. Shimizu | 08-23-1990 | English Translation | |

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
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